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Leedale, Amy, Sharp, Stuart, Simeoni, Michelle et al. (2 more authors) (2018) Fine-scale genetic structure and helping decisions in a cooperatively breeding bird. *Molecular Ecology*. pp. 1714-1726. ISSN 0962-1083

<https://doi.org/10.1111/mec.14553>

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1 **Fine-scale genetic structure and helping decisions in a cooperatively breeding bird**

2 Running title: Fine-scale genetic structure in social birds

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9 **Abstract**

10 In animal societies, characteristic demographic and dispersal patterns may lead to genetic
11 structuring of populations, generating the potential for kin selection to operate. However, even in
12 genetically structured populations, social interactions may still require kin discrimination for
13 cooperative behaviour to be directed towards relatives. Here, we use molecular genetics and long-
14 term field data to investigate genetic structure in an adult population of long-tailed tits *Aegithalos*
15 *caudatus*, a cooperative breeder in which helping occurs within extended kin networks, and relate
16 this to patterns of helping with respect to kinship. Spatial autocorrelation analyses reveal fine-scale
17 genetic structure within our population, such that related adults of either sex are spatially clustered
18 following natal dispersal, with relatedness among nearby males higher than that among nearby
19 females, as predicted by observations of male-biased philopatry. This kin structure creates
20 opportunities for failed breeders to gain indirect fitness benefits via redirected helping, but
21 crucially, most close neighbours of failed breeders are unrelated and help is directed towards
22 relatives more often than expected by indiscriminate helping. These findings are consistent with
23 the effective kin discrimination mechanism known to exist in long-tailed tits, and support models
24 identifying kin selection as the driver of cooperation.

25 *Keywords:* cooperation, kin selection, population genetic structure, kin discrimination

26

27 **Introduction**

28 The genetic structure of populations, that is the frequency and distribution of genotypes in space,
29 is a key biological feature that influences diverse behavioural and evolutionary phenomena.

Genetic structuring occurs when gene flow is limited, resulting in an increase in genetic differentiation with geographical distance, or ‘isolation-by-distance’ (Wright 1943). Gene flow can be restricted by physical barriers (Frantz *et al.* 2010) or ecological traits (Edelaar *et al.* 2012), such as species’ dispersal capacity (Watts *et al.* 2004) and migration patterns (Rolshausen *et al.* 2013). At large spatial scales, genetic structure can lead to local adaptation and speciation (Winker *et al.* 2013), while at finer scales, behavioural traits such as territoriality (Lee *et al.* 2010) or natal philopatry (Woxvold *et al.* 2006) can lead to the spatial clustering of relatives, or kin structure, within populations. This can have important implications for inbreeding (Keller & Waller 2002) and the evolution of sociality (Hamilton 1964; Bourke 2011). The nature of genetic structuring in wild populations can reveal much about a species’ ecology and the strength of selection for phenotypic traits. For instance, since flight increases dispersal capacity, gene flow in avian populations is generally assumed to be high, and genetic structure is expected to be observed at large spatial scales (van Treuran *et al.* 1999; Crochet 2000). However, in cooperatively breeding birds, dispersal patterns characteristic of social living can lead to fine-scale genetic structure, observed at the level of social groups or across territories (Emlen 1997; van Dijk *et al.* 2015). Studies combining molecular and field data can reveal how behavioural and demographic traits shape genetic structure, and predict the evolutionary consequences of such spatial-genetic distributions.

Animal societies characteristically exhibit a degree of genetic structure that can provide substantial fitness benefits to individual group members (Cornwallis *et al.* 2009; Hatchwell 2010). In cooperatively breeding animals, limited natal dispersal leads to the spatial clustering of relatives (Ekman *et al.* 2004; Heg *et al.* 2004; Clutton-Brock & Lukas 2012; Rubenstein & Abbott 2017).

This genetic structure facilitates kin selection (Hamilton 1964) because to gain indirect fitness benefits from cooperation, relatedness between the donor and recipient of aid must be higher, on average, than between randomly selected individuals within the population (Queller 1994). Essentially, kin-selected helping can evolve only when individuals have the opportunity to interact with kin, so population viscosity is regarded as a necessary precursor to the evolution of kin-selected cooperative breeding (Dickinson & Hatchwell 2004; West *et al.* 2007). As well as alloparental care, there are other contexts in which long-term kin associations may be beneficial, such as communal investment in public goods (van Dijk *et al.* 2014) or predator defence (Griesser & Ekman 2005). On the other hand, increased levels of relatedness among neighbouring individuals can also incur fitness costs through kin competition for mates or resources (Taylor 1992; West *et al.* 2002), and an increased likelihood of incestuous matings (Koenig & Haydock 1994). The risk of inbreeding and subsequent inbreeding depression (Keller & Waller 2002) may be alleviated through sex-biased dispersal (Pusey & Wolf 1996), and in many cooperative species, philopatry by breeders is biased strongly toward one sex (Greenwood 1980; Walters *et al.* 2004; Double *et al.* 2005). However, in the absence of effective spatial separation, recognition mechanisms that permit accurate kin discrimination may be necessary to mitigate the costs associated with long-term interactions with relatives, as well as to maximise inclusive fitness benefits via cooperation (Komdeur & Hatchwell 1999). The extent of kin discrimination expected in cooperative societies depends on the benefits of accurate kin recognition, and the costs of recognition errors, which both depend heavily on the genetic structure of the breeding population (Griffin & West 2003; Cornwallis *et al.* 2009). Note that we follow Sherman *et al.* (1997) in using ‘kin discrimination’ to refer to the differential treatment of conspecifics differing in genetic relatedness, and ‘kin recognition’ to describe any mechanism by which this is effected.

75 In most avian cooperative breeders, individuals within a population are organised into discrete
76 groups, in which relatedness among members is generally high and predictable (Cornwallis *et al.*
77 2009). Studies of the fine-scale genetic structure of cooperative species has therefore tended to
78 focus on gene flow between such groups, rather than on dyadic genetic differentiation at broader
79 spatial scales or across the population as a whole. However, for a small number of cooperative
80 breeders, such as western bluebirds *Sialia mexicana* (Dickinson *et al.* 1996) and riflemen
81 *Acanthisitta chloris* (Preston *et al.* 2013), cooperative social interactions extend beyond discrete
82 nuclear or extended family units to less defined, connected networks of relatives, known as ‘kin
83 neighbourhoods’ (Dickinson & Hatchwell 2004). In such cases, the relatedness between socially
84 interacting individuals is much more variable and relatively low overall (Cornwallis *et al.* 2009).
85 The underlying genetic structure resulting from this complex social pattern remains largely
86 unexplored, having been measured only in the bell miner *Manorina melanophrys* (Painter *et al.*
87 2000) and sociable weaver *Philetairus socius* (Covas *et al.* 2006).

88 Here, we describe the previously undefined population genetic structure underlying the social
89 organisation of long-tailed tits *Aegithalos caudatus* and investigate how this relates to the
90 opportunities and patterns of helping with respect to kinship. By quantifying the distribution of
91 genetically similar individuals within populations, we can gain a deeper understanding of the
92 opportunity for kin selection to operate in this species, and provide insights into the level of kin
93 discrimination required for helpers to maximise inclusive fitness. Long-tailed tits are facultative
94 cooperative breeders, but unlike most cooperative species, adult offspring do not delay natal
95 dispersal or breeding to help their parents to raise young (Hatchwell 2016). Instead, all adults
96 disperse during their first winter and attempt to breed independently the following spring, and may

decide to help at a relative's nest only if their own reproductive attempts fail (Russell & Hatchwell 2001). Local recruitment is male-biased, yet natal dispersal distances of both sexes are short (Sharp *et al.* 2008a) and siblings often disperse together (Sharp *et al.* 2008b). Long-tailed tits also exhibit strong kin associations during winter, which are reflected in their helping decisions the following spring (Napper & Hatchwell 2016). Another aspect of the long-tailed tit's life history that strengthens genetic structure is the pattern of offspring mortality that results in a small effective population size (Lehmann & Balloux 2007). High nest predation rates cause frequent removal of whole broods at the nestling stage, and in the following year result in high recruitment rates of close kin from the small proportion of successful nests (Beckerman *et al.* 2011). Together, these demographic and life-history patterns permit the characteristic flexibility in reproductive strategy from independent breeding to helping within an individual's lifetime. Around 40% of nests are helped, usually by one or two helpers, and helpers gain indirect fitness benefits by increasing the productivity of helped broods (Hatchwell *et al.* 2004; MacColl & Hatchwell 2004). In contrast, no significant direct fitness benefits of helping have been identified (McGowan *et al.* 2003; Meade & Hatchwell 2010). Helpers are overwhelmingly male (Sharp *et al.* 2011) and move away from their last failed breeding attempt to redirect care (Hatchwell 2016), often skipping the nearest available nest in search of one belonging to a relative (Russell & Hatchwell 2001). Furthermore, helpers adjust their effort according to kinship, provisioning more closely related broods at higher rates (Nam *et al.* 2010). These patterns suggest that kin-biased helping is not solely a result of kin structure, but involves active discrimination among individuals. In the absence of reliable spatial cues to kinship, helpers use vocal cues learned during early development to recognize and preferentially aid close relatives (Hatchwell *et al.* 2001; Sharp *et al.* 2005). However, the precise relationship between dispersal patterns, genetic structure and helping decisions remains unclear.

Using detailed observational and pedigree data, combined with molecular genetics, we first define the genetic structure among long-tailed tit breeders and relate this to the known patterns of dispersal for this species. We examine spatial genetic clustering both within and between sexes to determine whether this reflects female-biased dispersal and male-biased local recruitment. To assess how population structure impacts social behaviour, we also examine the distribution of close, distant and non-kin through the population to quantify the helping opportunities available to failed breeders in relation to distance. Finally, we compare the expected probability of helping kin based on population genetic structure with the observed patterns of helping to test whether kin-biased helping in long-tailed tits can be explained by this newly described genetic structure, or whether it is a result of active kin discrimination. We calculate kinship using both genetic data and a social pedigree. The measures differ because the population is open, so the social pedigree is inevitably incomplete. However, both measures are informative because although the fitness consequences of helping depend on genetic relatedness, social relatedness is the only information available to birds when making decisions, by way of socially learned recognition cues (Sharp *et al.* 2005).

Materials and Methods

Study area and field methods

A population of 17-72 (mean *c.*50) pairs of long-tailed tits was studied during the breeding season (February-June) between 1994 and 2016 in the Rivelin Valley, Sheffield, UK (53°38'N 1°56'W). The site is approximately 2.5km² and comprises predominantly deciduous woodland and scrub. The site also encompasses areas of farmland, gardens and a golf course, and is surrounded by low-

quality habitat. This is an open population, with approximately 40% of breeders hatched in the study site (A.E. Leedale, unpublished data). The remaining adults are assumed to be first year breeders that emigrated from outside the study site, based on the observation that individuals have high site fidelity following their first breeding year (McGowan *et al.* 2003). Almost all individuals (>95%) were marked with a metal BTO ring and a unique combination of two plastic colour rings for field identification. Native birds were ringed as 11-day old nestlings and immigrant adults were captured in mist nests under BTO licence before or during breeding. When ringed, a sample of 5-30µl of blood was taken by brachial venepuncture under Home Office licence. All breeding attempts were closely monitored and GPS coordinates were taken for each nest (n = 1461); a Cartesian coordinate system (UTM) was used to describe geographic distance between nests.

Molecular analyses

Molecular markers were used to estimate genetic relatedness between individuals and define population genetic structure. Genomic DNA was extracted from blood samples and amplified. All sampled individuals were sexed using the *P2-P8* sex-typing primers (Griffiths *et al.* 1998). Individuals ringed between 1994 and 2006 were genotyped at 8 microsatellite loci (Ase18; Ase37; Ase64; Hru2; Hru6; Pca3, PmaD22, Ppi2). Thereafter, individuals were genotyped at an additional 9 loci (CAM01, CAM03, CAM15, CAM23, Tgu_01.040, Tgu_04.012, Tgu_05.053, Tgu_13.017, Pca4). For further details on genotyping procedures, see Simeoni *et al.* (2007) and Adams *et al.* (2015). The population allele frequencies used in all analyses were generated using all genotyped individuals (1994-2016, n = 3182) in CERVUS v3.0.7 (Kalinowski *et al.* 2007), to maximise accuracy in estimating the frequency of rare alleles and to ensure non-zero frequencies for all alleles in the dataset. The genetic relatedness between pairs of individuals was estimated using Queller

and Goodnight's (1989) r_{QG} coefficient of relatedness in SPAGeDi v1.1.5 (Hardy & Vekemans 2002). This relatedness estimate has been found to be reliable when tested against our social pedigree (Nam *et al.* 2010).

Social pedigree

The social pedigree was created using 22 years of field observations as described above ($n = 2815$ birds). For further details on pedigree construction see SI1, Supplemental Information. To calculate pairwise social relationships (r_A), an additive relationship matrix was generated from the pedigree using the R package *nadiv* (Wolak 2012). Six breeding birds in our study population (0.2%) were from cross-fostered broods in 1996-1998, but given that birds raised together treat each other as kin (Hatchwell *et al.* 2001; Sharp *et al.* 2005), we include them in the social pedigree. Similarly, while there is a low rate of extra-pair paternity in long-tailed tits (Hatchwell *et al.* 2002), we have not corrected for it in the pedigree.

Spatial analysis of genetic relatedness

To describe the overall genetic structure of our breeding population ($n = 1022$), we use Weir and Cockerham's (1984) inbreeding coefficient, F_{IS} , and the microsatellite allele size-based genetic differentiation estimate, R_{IS} (Slatkin 1995), as calculated in SPAGeDi, following the recommendation by Balloux & Lugon-Moulin (2002). To calculate the approximate standard error of genetic relatedness and differentiation estimates, multilocus estimates were jack-knifed over loci and alleles permuted among individuals 20,000 times.

To assess fine-scale genetic structure within our population, we performed spatial autocorrelation analyses of relatedness (r_{QG}) as a function of geographic distance: (i) among all individuals, (ii)

among males, (iii) among females, and (iv) between males and females. The distance between breeding birds was based on the locations of their first nests in a given year. We compared the observed r_{QG} values within defined distance bands with the corresponding frequency distributions of r_{QG} when random permutations of the data were performed. The median natal dispersal distance within our study site was 393m for males and 522m for females, and the median distance travelled by established breeders between years was 312m (A.E. Leedale, unpublished data). We therefore set distance intervals of 300m as a scale on which to examine population structure, from pairwise comparisons of individuals at the same nest (0m), to those 2100m away; with a final distance band (>2100m) containing pairwise comparisons of individuals from the most distant nests (mean \pm SD distance between birds = 1033m \pm 596; maximum distance = 3195m). These bands generated enough variation in dyadic genetic relatedness, while maintaining a large enough sample size at each distance interval to ensure meaningful analyses. Long-tailed tits are relatively short-lived, so the genetic structure of the population may fluctuate over time due to demographic processes such as dispersal, mortality and recruitment (Balloux & Lugon-Moulin 2002; Hatchwell *et al.* 2013). Therefore, although all genetic and spatial analyses were performed on the long-term dataset, these were restricted to within-year comparisons among individuals.

Spatial autocorrelation among all individuals, among males and among females were analysed separately using SPAGeDi. Individual locations were permuted 20,000 times for tests on each distance band and all tests were two-tailed. SPAGeDi cannot be used to perform spatial autocorrelation analyses both within-years and between opposite-sex pairs of individuals only, so to analyse male-female genetic structure, an equivalent randomisation procedure was conducted using R version 3.3.2 (R Core Team 2015). Mean observed r_{QG} estimates were calculated for each

defined distance band. The observed r_{QG} values within each band were replaced with an equal-sized sample of r_{QG} values selected at random across all distance bands. From these values, the mean null r_{QG} was calculated, and permuted 20,000 times to simulate the random frequency distribution. In all analyses, the observed r_{QG} in each distance band was considered statistically significant if the mean fell outside the 95% confidence interval of the random distribution.

The genetic structure of males and females was compared using mixed effects models (GLMM). The typical natal dispersal distance was <400m for philopatric male recruits, and <600m for female recruits (Sharp *et al.* 2008a; see above), and neither sex exhibited significant kin structure beyond 600m (see Results). Therefore, we compared relatedness among males with that observed among females within two distance bands (0-300m and 300-600m) only. For each distance band, r_{QG} values were modelled with sex fitted as a fixed effect and the IDs of both birds fitted as random effects.

Helping decisions

The range within which failed breeders search for helping opportunities is likely to interact with population genetic structure to affect the probability of helping kin. Using both genetic data ($n = 1022$) and the social pedigree ($n = 866$), we calculated the frequency of first order kin (pedigree $r_A \geq 0.5$; relatedness coefficient $r_{QG} > 0.25$), second order kin ($0.5 > r_A \geq 0.25$; $0.25 \geq r_{QG} \geq 0.125$) and non-kin ($r_A < 0.25$; $r_{QG} < 0.125$) in the breeding population (see SI2, Supplemental Information). Only relationships between breeders that were present in the same year were considered. Helping distance was calculated as the distance between helpers' last failed breeding attempt in a given year and the nest at which they first appeared as a helper the same year. Distance between nests was

227 measured in UTM coordinates and was calculated in the R package, raster version 2.5-8 (Hijmans
228 2016).

229 To assess the impact of kin structure on helping behaviour, we quantified the proportion of cases
230 in which help was given to broods belonging to at least one first order kin, at least one second order
231 kin, or two unrelated breeders over the three distance bands in which helping is likely to occur: 0-
232 300m, 300-600m and 600-900m. We used Pearson's Chi-squared tests to determine whether the
233 proportion of helpers assisting kin was affected by distance, and whether the proportion of helpers
234 assisting kin differed between males and females. We also calculated the probability that helpers
235 would provide care to broods belonging to kin if they helped a random brood within 0-300m, 300-
236 600m and 600-900m. For each helping event, a nest was selected at random from the pool of nests
237 the focal helper could have chosen. This pool contained all nests present in the year the helping
238 event occurred within the same distance band as the chosen nest. The proportion of cases in which
239 help was given to nests belonging to at least one first order kin, at least one second order kin or
240 two unrelated breeders was calculated based on this random sample. The procedure was repeated
241 10,000 times to generate a distribution of expected proportions for each distance band if nests were
242 selected randomly with respect to kinship. To determine the effect of distance and helper sex on
243 the probability of helping kin, we carried out Pearson's Chi-squared tests using the mean of the
244 randomly generated proportions. Finally, we compared the expected probability of helping at a nest
245 belonging to a relative to the observed proportion of birds helping kin. The observed proportion of
246 helped nests within each distance band in which the helper was a first order, second order or non-
247 relative was considered statistically significant if it fell outside the 95% confidence interval of the
248 randomly generated distribution. This allowed us to determine whether kin structuring alone could

explain observed patterns of helping behaviour, and if not, the degree of discrimination required to direct care towards kin. All analyses were carried out on all helpers and separately by sex, using both genetic data and the social pedigree.

Results

Breeding adults were genotyped at 8 ($n = 525$) or 17 ($n = 497$) polymorphic microsatellite loci (multilocus averages used, mean number of alleles per locus = 15, effective alleles = 7.09 (Nielsen *et al.* 2003), allelic richness = 6.88, gene diversity corrected for sample size = 0.761 and individual inbreeding coefficient $F_i = 0.007$). In total, 264 alleles were detected (for the distribution of alleles among loci, see Table SI3, Supplemental Information). The average genetic variation among breeders was not significantly different from random, based on the population inbreeding coefficient ($F_{IS} = -0.002 \pm 0.004$, $p = 0.67$) and microsatellite-specific genetic differentiation estimate ($R_{IS} = -0.014 \pm 0.027$, $p = 0.36$), indicating no significant inbreeding or outbreeding in our study population.

Spatial analysis of genetic relatedness

Mean \pm SE population-level relatedness was 0.011 ± 0.003 among all birds (1719 observations of 1022 birds, 73069 within-year comparisons), 0.012 ± 0.004 among males (909 observation of 529 males, 20279 comparisons), 0.012 ± 0.005 among females (810 observation of 493 females, 16041 comparisons) and 0.009 between males and females (1719 observations of 1022 birds, 36749 comparisons). The standard error of relatedness estimates was not quantifiable for male-female genetic structure across years (see Methods), but for within-year estimates of mean relatedness

between males and females with standard errors from jack-knifing over loci see SI4, Supplemental Information.

Spatial analyses revealed fine-scale genetic structure within our breeding population, with nearby individuals being the most genetically similar (Fig. 1). Pairwise relatedness among all individuals was higher than expected (based on permuted pairwise relatedness) within a radius of 300m and between 300m and 600m (both $p < 0.001$, Fig. 1a). Within each sex, relatedness was higher than expected within 300m and 300-600m for both males (both $p < 0.001$, Fig. 1b) and females (both $p < 0.001$, Fig. 1c). Although slightly lower than the within-sex comparisons, dyadic relatedness between males and females was also significantly higher than expected by chance within a radius of 300m and 300-600m (both $p < 0.001$, Fig. 1d). Within-year comparisons between males and females exhibit a similar spatial pattern (SI4, Supplemental Information). The distance at which kin structure breaks down in all comparisons is beyond 600m (Fig. 1). Within this distance, relatedness among males was significantly higher than relatedness among females at 0-300m (GLMM: $F = 20.63$, $df = 1,780$, $p < 0.001$), but not at 300-600m (GLMM: $F = 2.29$, $df = 1,888$, $p = 0.13$).

Helping decisions

The median distance travelled by failed breeders to help another breeding pair was 263m for males ($n = 164$) and 346m for females ($n = 37$), with most helpers travelling within the 0-300m and 300-600m distance bands (Fig. 2). Using genetic estimates of relatedness, r_{QG} , mean \pm SD relatedness of all helpers to recipient breeding pairs was 0.14 ± 0.16 (95% CI = -0.10-0.36). In the majority of cases ($n = 181$), helpers assisted at least one first or second order relative ($r = 0.5$, 56.9%; $r = 0.25$, 13.3%), but a substantial minority of helpers were unrelated to the recipients ($r = 0$, 29.8%).

292 Estimated helper-recipient relatedness was lower using the social pedigree, although a majority of
293 helped nests were again helped by at least one first or second order relative ($r = 0.5$, 39.4%; $r =$
294 0.25 , 14.2%; $r = 0$, 46.5%; $n = 150$ cases).

295 Overall, we found little effect of the distance travelled by helpers on their probability of helping
296 kin. Using genetic estimates of relatedness, helpers were marginally less likely to help relatives
297 when travelling between 300-600m ($\chi^2 = 10.24$, $df = 4$, $p < 0.05$, $n = 177$) compared to the shorter
298 and longer distance bands (Fig. 3a, Table 1). However, this effect was not significant when
299 considering only male helpers, ($\chi^2 = 8.92$, $df = 4$, $p = 0.06$, $n = 144$); there were too few female
300 helpers falling into each category to conduct an equivalent analysis (Table 1). Based on the social
301 pedigree, there was no effect of distance on the proportion of first order, second order or non-kin
302 helped when analysing all helpers ($\chi^2 = 5.88$, $df = 4$, $p = 0.22$, $n = 155$ cases; Fig. 3b, Table 1), or
303 just male helpers ($\chi^2 = 3.49$, $df = 4$, $p = 0.48$, $n = 129$; Table 1). Note that again there were too few
304 data to analyse female helpers separately (Table 1).

305 Comparing the relatedness of male and female helpers to the recipients of their care, overall males
306 tended to help kin more often than females (Table 1). This was significant using the genetic data
307 (males: $r = 0.5$, 61.8%; $r = 0.25$ 13.9%; $r = 0$, 24.3%; $n = 144$ cases; females: $r = 0.5$, 42.4%; $r =$
308 0.25 12.1%; $r = 0$, 45.5%; $n = 33$ cases; $\chi^2 = 6.05$, $df = 2$, $p < 0.05$), but not with the social pedigree
309 (males: $r = 0.5$, 42.6%; $r = 0.25$, 13.2%; $r = 0$, 44.2%; $n = 129$ cases; females: $r = 0.5$, 23.1%; $r =$
310 0.25 19.2%; $r = 0$, 57.7%; $n = 26$ cases; $\chi^2 = 3.51$, $df = 2$, $p = 0.17$). This sex difference in the
311 probability of helping kin was driven by a relatively small number of unrelated female helpers in
312 the 300-600m distance band (Table 1).

The randomisation tests that we conducted to determine the random probability of helping a relative showed, not surprisingly given the kin structure of our population, that the random probability of helping first-order kin decreased with distance for all helpers (genetic data: $\chi^2 = 13.2$, $df = 2$, $p < 0.01$; social pedigree: $\chi^2 = 28.5$, $df = 2$, $p < 0.001$; Fig 3, Table 1), for male helpers (genetic data: $\chi^2 = 16.1$, $df = 2$, $p < 0.01$; social pedigree: $\chi^2 = 28.1$, $df = 2$, $p < 0.001$; Table 1), and female helpers (genetic data: $\chi^2 = 7.4$, $df = 2$, $p < 0.001$; social pedigree: $\chi^2 = 32.5$, $df = 2$, $p < 0.001$; Table 1). There was no significant difference in the opportunity to help a relative between male and female helpers travelling within 0-300m (genetic data: $\chi^2 = 2.41$, $df = 2$, $p = 0.29$; social pedigree: $\chi^2 = 0.88$, $df = 2$, $p = 0.69$; Table 1), 300-600m (genetic data: $\chi^2 = 1.7$, $df = 2$, $p = 0.45$; social pedigree: $\chi^2 = 2.01$, $df = 2$, $p = 0.42$; Table 1) or 600-900m (genetic data: $\chi^2 = 0.31$, $df = 2$, $p = 0.89$; social pedigree: $\chi^2 = 1.33$, $df = 2$, $p = 0.56$; Table 1) to provide help. Crucially, first-order kin were helped significantly more often than expected at random irrespective of distance travelled to provide help (Fig. 3; Table 1). This kin-bias in helping was also apparent among male helpers within all distance bands, and also among female helpers for all but one comparison (Table 1).

Discussion

Long-tailed tits breed cooperatively in diffuse family structures, or kin neighbourhoods, such that interactions among non-kin and kin of varying relatedness are frequent. We used a combination of long-term field observations and population genetic analyses to investigate genetic structure and patterns of helping in this atypical social system. Our results showed significant, fine-scale genetic structure in long-tailed tit populations, with positive spatial autocorrelation of dyadic relatedness estimates among breeding birds. Crucially, this pattern exists after natal dispersal, and was strong

among males, among females and between the sexes. These findings contrast with most studies of cooperatively breeding birds that have measured spatial-genetic autocorrelation in breeders of both sexes, and show genetic structure in adult males only due to complete female-biased dispersal (Painter *et al.* 2000; Double *et al.* 2005; Temple *et al.* 2006; Woxvold *et al.* 2006), although fine-scale genetic structure among both sexes has also been demonstrated in sociable weaver colonies (van Dijk *et al.* 2015). We found that genetic structure was stronger in males than females, but both males and females remained spatially associated with same-sex relatives once they started breeding. This reflects previously reported patterns of dispersal in this species: although females disperse further than males (Sharp *et al.* 2008a), some adults of each sex disperse only short distances from their natal area to become independent breeders. This underlying genetic structure is also consistent with known patterns of social association during the non-breeding season (Napper and Hatchwell 2016).

This degree of kin structure post-dispersal creates opportunities for failed breeders to gain indirect fitness benefits via redirected helping (Hatchwell *et al.* 2014). Although long-tailed tit societies are not organised into discrete family units of close kin, neither are related individuals distributed randomly in space, but organised into kin neighbourhoods, allowing kin selection to operate. Pairwise relatedness is highest within 300m, and males typically seek helping opportunities within this range. In the rarer instance that females become helpers, they tend to travel slightly further, but still within the range of kin clustering. The higher than expected relatedness among individuals living in close proximity is driven by the tendency of close kin to cluster together, but is low overall because non-relatives or distant kin also breed in the vicinity. In fact, genetic estimates showed that only 12% of dyadic relationships within 300m were between first order kin and as the distance

between dyads increased, the proportion of kinships decreased so that only 3% of dyads over 900m were close kin (Fig. SI2, Supplemental Information). Interestingly, using genetic relatedness estimates the proportion of second order kin did not decrease with distance.

According to the social pedigree, however, the proportions of first and second order kinships both decreased over distance and were substantially lower overall than the estimated kinships using genetic data (Fig. SI2, Supplemental Information). Our genetic data may detect more kin relationships than our social pedigree for several reasons. First, our population is open, with over half the breeding adults dispersing into the study site to breed, and their parentage is unknown. Therefore, although we can use genetic data to inform the pedigree for a proportion of immigrants (see SI1 Supplemental Information), some kin relationships are likely to go undetected. Second, long-tailed tits are relatively short-lived and high nest predation rates generate a low effective population size (Beckerman *et al.* 2011). Therefore, even for birds born in the study site, it is rarely possible to trace their social pedigree further than one generation without using genetic data to fill in the gaps. Third, a small number of individuals may breed just outside the study site in their first year, before moving into the site in subsequent years, and so kin relationships may exist among some immigrants across years. Kinship estimates from the social pedigree may therefore underestimate the proportion of kin. Finally, the number of individuals in each relatedness category obviously depends on how those categories are defined using either pedigree or genetic data.

Overall, where they differ from the social pedigree, genetic relatedness estimates may be more reliable. However, pedigree data is essential for understanding how accurately individuals are able to recognise kin, particularly when the mechanism depends on socially learned cues (Sharp *et al.* 2005). Kin recognition via associative learning is likely to be effective where there is a reliable

correlation between genetic relatedness and association during a sensitive phase of development, a requirement probably satisfied in most species demonstrating parental care (Komdeur and Hatchwell, 1999). Associative learning is therefore considered the most widespread mechanism of kin recognition in cooperatively breeding birds, where extended brood care at the nest provides a period of association among relatives, and has been identified in many species, including Galápagos mockingbirds *Nesomimus parvulus* (Curry 1998; Curry & Grant 1990), Seychelles warblers *Acrocephalus sechellensis* (Richardson *et al.* 2003; Komdeur *et al.* 2004) and splendid fairy wrens *Malurus splendens* (Payne *et al.* 1988). On the other hand, in some species, such as stripe-backed wrens *Campylorhynchus nuchalis* (Price 1998; 1999) and green woodhoopoes *Phoeniculus purpureus* (Radford, 2005), kin recognition has been suggested to have a genetic component, and studies on bell miners (Wright *et al.* 2010) and long-tailed tits (Nam *et al.* 2010) found significant effects of genetic relatedness on helper effort, indicating a mechanism more sophisticated than associative learning alone. Further studies of phenotypic cues in relation to genetic similarity and social information are necessary to determine the precise mechanisms of kin recognition in such species.

The social organisation of long-tailed tits gives helpers a choice of whom to aid in a situation where simple decision rules based on spatial cues are insufficient, even at close proximity, for effective kin discrimination. Here, we have shown that helpers assist far more first order kin than expected if help were given randomly with respect to kinship. This is consistent with the finding of Russell and Hatchwell (2001) that helpers exhibit a kin preference in their choice of brood to help. Although most helpers choose to help kin within the range of kin clustering, improving their chances of encountering a relative, 67% helped at a nest belonging to at least one first order kin,

401 compared with a 31% probability of encountering a first order relative within 300m (Table 1).
402 Furthermore, the proportion of helpers that assisted kin did not, in general, decrease with distance;
403 the marginally lower probability of helping first order kin at 300-600m was driven by a small
404 number of female helpers within this range that helped non-kin. Therefore, even when fewer kin
405 were available, helpers still identified a similar proportion of first order kin to help. This degree of
406 kin discrimination is unusual in cooperative breeders; helping more often occurs indiscriminately
407 within closely related family groups (Cornwallis *et al.* 2009). This is because cooperation typically
408 precedes dispersal, with offspring staying within natal territories to help their parents raise young
409 (Ligon & Stacey 1991). Such viscous populations exhibit strong kin structure and the probability
410 of helping kin is predictably high within the nuclear family unit. In a minority of cooperative
411 breeders helping behaviour occurs post-dispersal and extends beyond the confines of a stable group
412 (Ligon & Burt 2004; Hatchwell 2009) within extended social networks such as neighbourhoods of
413 western bluebirds (Dickinson *et al.* 1996) and long-tailed tits (Hatchwell 2016), clans of white-
414 fronted bee-eaters *Merops bullockoides* (Emlen & Wrege 1992) or coteries of bell miners (Clarke
415 & Fitz-Gerald 1994). A crucial characteristic of such social structures is that the proportion of kin
416 available to helpers is relatively low, and relatedness among individuals is too variable to favour
417 indiscriminate cooperation (Cornwallis *et al.* 2009). In such populations, helpers must exercise a
418 degree of discrimination to reliably direct help towards kin, so it is no coincidence that
419 observational and experimental studies of these species have revealed some of the strongest
420 evidence for active kin discrimination in social birds (Emlen & Wrege 1988; Hatchwell *et al.* 2001;
421 Russell & Hatchwell 2001; Sharp *et al.* 2005; McDonald & Wright 2011; Akcay *et al.* 2013;
422 Dickinson *et al.* 2016).

We found that second order kin were helped much less frequently than first order kin, as reported by Nam *et al.* (2010). It is interesting that the probability of helping second order kin did not differ significantly from that expected by random helping, except within 300m, where it was lower than expected. We suggest two possible reasons for this. First, a kin recognition mechanism that depends on association during early development (Sharp *et al.* 2005) may result in reliable discrimination of first order kin (i.e. siblings and parents), but would offer less opportunity to learn more distant kin, apart from any helpers. Alternatively, birds may be able to recognise second order kin, but prefer to help first order kin to maximise fitness. Previous studies have shown that long-tailed tits modify provisioning effort contingent on kinship (Nam *et al.* 2010), indicative of a capacity to discriminate between kin of varying relatedness. However, a minority of helpers also assist non-kin, and the probability of doing so did not change with distance and did not reflect kin availability. We have detected no direct benefits of helping in log-tailed tits (Meade & Hatchwell 2010), so such help for non-kin may be due to recognition ‘errors’ caused by a permissive threshold for acceptance of kin (Downs & Ratnieks 2000; Hatchwell *et al.* 2014). In cases where the benefits of helping a relative greatly exceed the costs of helping a non-relative, selection should favour a recognition mechanism that reflects these relative costs despite the potential for recognition errors (Reeve 1989). More studies are required that focus on the precise mechanism of kin recognition to investigate this possibility further.

Within the range that most helpers travelled to help, there was no difference between the sexes in the opportunity to help kin. Thus, the fact that females comprise only 15% of helpers in this population (Hatchwell *et al.* 2004) is not because they have few opportunities to help. This result is consistent with a previous study of another population by Sharp *et al.* (2011), showing that when

445 males and females exhibited similar levels of philopatry, there was still a strong male bias in
446 helping. Therefore, the male bias in helping behaviour is not due to male philopatry, and hence
447 helping opportunities, *per se*. Instead, the decision to help by failed breeders is probably related to
448 individual condition; failed breeders that choose to become helpers tend to be in better condition
449 than those that do not (Meade & Hatchwell 2010). Since egg-laying, incubating and brooding are
450 performed exclusively by females (Hatchwell 2016), the fitness costs associated with parental care
451 may be more pronounced in females than in males, reducing their likelihood of becoming helpers
452 when breeding attempts fail. We also found an intriguing trend for female helpers to assist fewer
453 close kin and more non-kin than male helpers did. However, we treat this result with some caution
454 because the sample of female helpers available for comparison is still relatively small.

455 The spatial clustering of relatives also has important consequences in terms of inbreeding risk. The
456 population genetic structure revealed by our study shows that the average relatedness between
457 males and females is higher than expected at random within approximately 700m. Therefore, in
458 addition to the cooperative benefits, prolonged kin-associations between males and females at the
459 reproductive stage may carry fitness costs associated with incest and inbreeding depression (Keller
460 & Waller 2002). Whether these kin associations persist over the range that mate choice occurs and
461 whether kin are actively avoided during mate choice, remains to be investigated.

462 In conclusion, we have revealed fine-scale genetic structure in our long-tailed tit population that is
463 consistent with the kin clustering expected from known demographic and dispersal patterns. This
464 provides an opportunity for kin selection to operate, and, in the absence of any other known benefits
465 of interacting socially with kin (Napper *et al.* 2013; Napper & Hatchwell 2016), is likely to have
466 emerged as a result of selection for kin-directed helping behaviour. However, despite this genetic

structure, the random probability of helping at the nest of a relative is still relatively low, demonstrating that in the kin-selected cooperative breeding system of long-tailed tits, active discrimination of kin from non-kin is required when choosing at which nest to help in order to maximise inclusive fitness. The learned vocal cues used by long-tailed tits to recognise kin provides one such mechanism (Sharp *et al.* 2005), although the degree to which they can discriminate among kin of variable relatedness requires further study. Overall, this study demonstrates how population genetic structure, generated by dispersal and life-history traits, determines the opportunity for interactions among relatives, and the selective pressure these exert on kin discrimination rules in social animals.

Acknowledgements

We are grateful to all the people who have contributed to the laboratory and fieldwork since the project began in 1994. Molecular genetic analyses were conducted at the NERC Biomolecular Analysis Facility at the University of Sheffield, with advice and support from Terry Burke, Deborah Dawson, Natalie dos Remedios and Maria-Elena Mannarelli. We also thank René van Dijk and Jon Slate for helpful discussion, and David Coltman and two anonymous reviewers for their constructive comments on the manuscript. This work was funded by the Natural Environment Research Council (NERC, UK, 1517208).

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712 **Data Accessibility**

713 Sampling locations, microsatellite genotypes and social pedigree to be archived in Dryad.

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715 **Author Contributions**

716 BJH conceived and managed the long-tailed tit study, and supervised the project with EJHR.

717 AEL, BJH, SPS and MS designed the study and collected long-term data. AEL conducted

718 analyses and wrote the manuscript, and all authors contributed to revisions.

Table 1. Proportion of help given to nests belonging to at least one 1st order kin, at least one 2nd order kin, or two non-kin over three distance bands between helpers and recipients. Within each band, the proportion of kin helped is compared with that expected if help was given randomly within that range, based on 10000 permutations of potential nests for focal helpers within years (1994-2016). Relatedness between helpers and recipients is estimated using both genetic data and the social pedigree. Analyses were carried out on all helpers, male helpers and female helpers. The observed proportion was considered statistically significant if fell outside the 95% ($p < 0.05$), 99% ($p < 0.01$) or 99.9% ($p < 0.001$) confidence interval of the random distribution.

data	sex	distance (m)	kinship	helped nests	observed proportion	potential nests	expected proportion (mean \pm SD)	p
genetic	both	0-300	0.5	66	0.67	184	0.31 ± 0.03	<0.001
			0.25	8	0.08	127	0.21 ± 0.02	<0.001
			0	25	0.25	296	0.47 ± 0.03	<0.001
		300-600	0.5	24	0.43	182	0.17 ± 0.02	<0.001
			0.25	12	0.21	281	0.21 ± 0.03	NS
			0	20	0.36	831	0.62 ± 0.03	<0.001
		600-900	0.5	13	0.59	138	0.12 ± 0.02	<0.001
			0.25	4	0.18	325	0.24 ± 0.03	NS
			0	5	0.23	921	0.65 ± 0.03	<0.001
	males	0-300	0.5	58	0.69	162	0.33 ± 0.03	<0.001
			0.25	6	0.07	105	0.21 ± 0.03	<0.001
			0	20	0.24	244	0.45 ± 0.03	<0.001
		300-600	0.5	22	0.49	161	0.18 ± 0.03	<0.001
			0.25	11	0.24	245	0.22 ± 0.03	NS
			0	12	0.27	700	0.60 ± 0.03	<0.001
		600-900	0.5	9	0.60	109	0.11 ± 0.02	<0.001
			0.25	3	0.20	262	0.24 ± 0.03	NS
			0	3	0.20	767	0.64 ± 0.03	<0.001
	females	0-300	0.5	8	0.53	22	0.24 ± 0.05	<0.001
			0.25	2	0.13	22	0.21 ± 0.05	NS
			0	5	0.33	52	0.55 ± 0.04	<0.001
		300-600	0.5	2	0.18	21	0.13 ± 0.04	NS
			0.25	1	0.09	36	0.18 ± 0.06	NS
			0	8	0.73	131	0.68 ± 0.06	NS
		600-900	0.5	4	0.57	29	0.12 ± 0.05	<0.001
			0.25	1	0.14	63	0.21 ± 0.06	NS
			0	2	0.29	154	0.67 ± 0.07	<0.001
pedigree	both	0-300	0.5	41	0.47	111	0.24 ± 0.03	<0.001
			0.25	10	0.11	35	0.09 ± 0.01	NS
			0	36	0.41	316	0.67 ± 0.03	<0.001
		300-600	0.5	13	0.27	65	0.08 ± 0.02	<0.001
			0.25	8	0.17	47	0.05 ± 0.01	<0.001
			0	27	0.56	827	0.86 ± 0.02	<0.001
		600-900	0.5	7	0.35	23	0.03 ± 0.01	<0.001
			0.25	4	0.20	27	0.03 ± 0.03	<0.001
			0	9	0.45	960	0.94 ± 0.02	<0.001
	males	0-300	0.5	36	0.49	101	0.24 ± 0.03	<0.001
			0.25	8	0.11	31	0.08 ± 0.02	<0.05
			0	29	0.39	261	0.67 ± 0.03	<0.001

females	300-600	0.5	13	0.32	60	0.09 ± 0.02	<0.001
		0.25	7	0.17	40	0.06 ± 0.02	<0.001
		0	21	0.51	717	0.86 ± 0.02	<0.001
	600-900	0.5	6	0.40	20	0.03 ± 0.01	<0.001
		0.25	2	0.13	16	0.02 ± 0.01	<0.001
		0	7	0.47	803	0.95 ± 0.02	<0.001
	0-300	0.5	5	0.36	10	0.22 ± 0.05	<0.01
		0.25	2	0.14	4	0.12 ± 0.03	NS
		0	7	0.50	55	0.66 ± 0.05	<0.01
	300-600	0.5	0	0.00	5	0.04 ± 0.03	NS
		0.25	1	0.14	7	0.06 ± 0.03	<0.05
		0	6	0.85	110	0.90 ± 0.05	NS
	600-900	0.5	1	0.20	7	0.03 ± 0.03	<0.001
		0.25	2	0.40	7	0.05 ± 0.03	<0.001
		0	2	0.40	157	0.92 ± 0.05	<0.001

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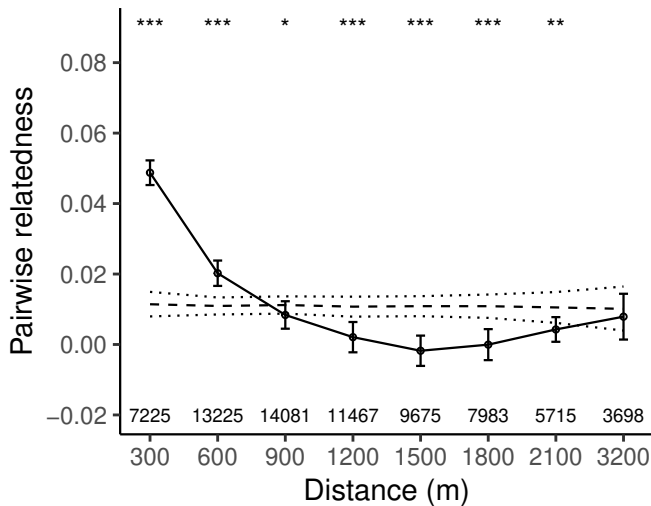
Figure Legends

Figure 1. Mean pairwise relatedness (r) in a long-tailed tit population over eight bands of distance between dyads: (a) among all individuals, (b) among males, (c) among females, (d) between males and females. Dashed lines indicate the simulated null mean r and 95% CI in an unstructured population. Error bars approximate SE estimates from jackknifing over loci. Numbers above the x axis represent the number of pairwise comparisons. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

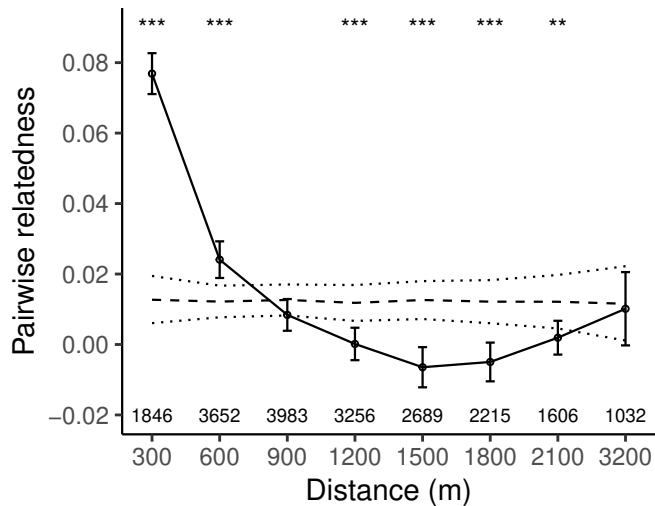
Figure 2. Frequency distribution and median (+IQR) helping ranges of (a) male ($n = 164$) and (b) female ($n = 37$) long-tailed tit helpers, calculated as the distance between an individual's first helped nest and their last reproductive attempt that year.

Figure 3. Proportion of help given to nests belonging to at least one 1st order kin (black), at least one 2nd order kin (grey), or two non-kin (white) over three bands of distance between helpers and recipients (obs). The respective proportions expected if help was given randomly within that range is also shown (exp). Relatedness between helpers and recipients is estimated using both (a) genetic data and (b) the social pedigree.

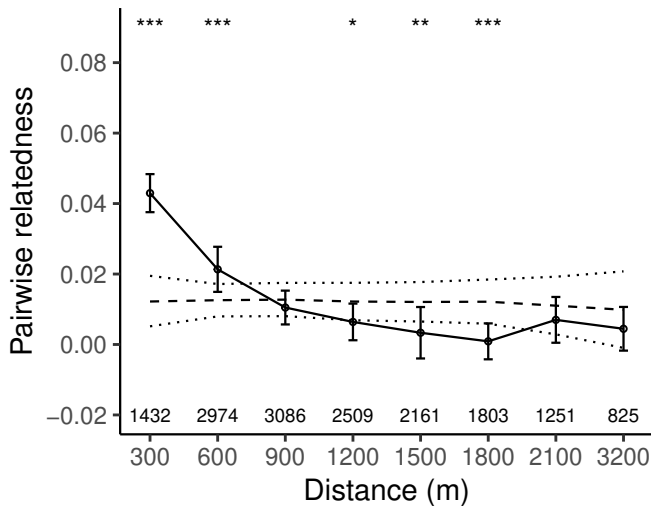
(a)



(b)



(c)



(d)

